



*STW*

Docket No.: 029258.00036-US01  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Carlton J. Sparrell et al.

Application No.: 10/777,217

Group Art Unit: 2611

Filed: February 13, 2004

Examiner: Not Yet Assigned

For: DIGITAL VIDEO RECORDING AND  
PLAYBACK SYSTEM WITH SEAMLESS  
ADVERTISEMENT INSERTION AND  
PLAYBACK FROM MULTIPLE LOCATIONS  
VIA A HOME AREA NETWORK

**TRANSMITTAL LETTER**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed are the following items for filing in connection with the above-referenced Patent Application:

1. Copy of the Power of Attorney and Correspondence Address Indication Form previously filed on July 9, 2004;
2. Corrected Statement under 37 CFR 3.73(b) with a copy of the Assignment reflecting Ucentric Holdings, Inc. as the assignee of the application; and
3. Return receipt postcard.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0740, under Docket No. 029258.00036-US01. A duplicate copy of this paper is enclosed.

It is not believed that extensions of time fees are required beyond those that may otherwise be provided for in documents accompanying this paper. However, if additional extensions of time are necessary to prevent abandonment of this application, then such extensions of time are hereby petitioned under 37 C.F.R. § 1.136(a), and any fees required therefor are hereby authorized to be charged to our Deposit Account No. 50-0740.

Dated: October 26, 2004

Respectfully submitted,

By 

Paul J. Berman

Registration No.: 36,744

COVINGTON & BURLING

1201 Pennsylvania Avenue, N.W.

Washington, DC 20004-2401

(202) 662-6000

Attorney for Applicant



<b>POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM</b>	<b>Application Number</b>	10/777,217-Conf. #2491
	<b>Filing Date</b>	February 13, 2004
	<b>First Named Inventor</b>	Carlton J. Sparrell
	<b>Title</b>	DIGITAL VIDEO RECORDING AND PLAYBACK SYSTEM WITH SEAMLESS ADVERTISEMENT INSERTION AND PLAYBACK FROM MULTIPLE LOCATIONS VIA A HOME AREA NETWORK
	<b>Art Unit</b>	2611
	<b>Examiner Name</b>	Not Yet Assigned
	<b>Attorney Docket No.</b>	029258.00036-US01

I hereby appoint:

☒ Practitioners associated with the Customer Number 26853

OR

☐ Practitioner(s) named below:

Name	Registration Number	Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☒ The address associated with the above-mentioned Customer Number.

OR

☐ The address associated with  
Customer Number: 

OR

☐ Firm or  
Individual Name COVINGTON & BURLING

<b>Address</b>	1201 Pennsylvania Avenue, NW				
<b>City</b>	Washington	<b>State</b>	D.C.	<b>Zip</b>	20004-2401
<b>Country</b>	USA	<b>Telephone</b>	202.662.6000	<b>Fax</b>	202.662.6291

I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).**SIGNATURE of Applicant or Assignee of Record****Name** Michael Collette, Chief Executive Officer**Signature** **Date** C.C. 04 **Telephone** (978) 823-8100

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of 1 forms are submitted.



**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Carlton J. Sparrell et al.

Application No./Patent No.: 10/777,217 Filed/Issue Date: February 13, 2004

Entitled: DIGITAL VIDEO RECORDING AND PLAYBACK SYSTEM WITH SEAMLESS ADVERTISEMENT INSERTION AND PLAYBACK FROM MULTIPLE LOCATIONS VIA A HOME AREA NETWORK

Ucentric Holdings, Inc., a Corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.  
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

10-15-04  
Date  
978-823-8112  
Telephone Number

Marty Meyer  
Typed or printed name  
[Signature]  
Signature  
Chief Financial Officer  
Title

## **ASSIGNMENT BY INVENTORS**

**THIS ASSIGNMENT**, made by Carlton J. Sparrell; Peter A. Kaczowka; and Alexander Vasilevsky (hereinafter referred to as Assignors), residing at 1 Bradlee Road, Marblehead, Massachusetts 01945; 145 Highland Street, Townsend, Massachusetts 01469; and 5 Gooseneck Lane, Westford, Massachusetts 01886, respectively;

**WHEREAS**, Assignors have invented certain new and useful improvements in DIGITAL VIDEO RECORDING AND PLAYBACK SYSTEM WITH SEAMLESS ADVERTISEMENT INSERTION AND PLAYBACK FROM MULTIPLE LOCATIONS VIA A HOME AREA NETWORK, set forth in a Patent application for Letters Patent of the United States, already filed on February 13, 2004 as U.S. application No. 10/777,217; and

**WHEREAS**, Ucentric Holdings, Inc., a Corporation having its principal place of business at 2 Clock Tower Place, Suite 550, Maynard, Massachusetts 01754 (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in and to said inventions and said Application for Letters Patent of the United States, and in and to any Letters Patent of the United States to be obtained therefore and thereon.

**NOW, THEREFORE**, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, Assignors have sold, assigned, transferred and set over, and by these presents do sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions and application for Letters Patent, and in and to any and all direct and indirect divisions, continuations and continuations-in-part of said application, and any and all Letters Patent in the United States and all foreign countries which may be granted therefore and thereon, and reissues, reexaminations and extensions of said Letters Patent, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by Assignee, for its own use and benefit and the use and benefit of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent may be granted and/or extended, as fully and entirely as the same would have been held and enjoyed by Assignors, had this sale and assignment not been made.

**AND** for the same consideration, Assignors hereby represent and warrant to Assignee, its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, except for any rights, titles and/or interests that have arisen to Assignee under law or that have already been transferred to Assignee, Assignors are the sole and lawful owners of the entire right, title and interest in and to the said inventions and application for Letters Patent above-mentioned, and that the same are unencumbered and that Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

**AND** for the same consideration, Assignors hereby covenant and agree to and with Assignee, its successors, legal representatives and assigns, that Assignors will sign all papers and documents, take all lawful oaths and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of any Letters Patent and applications for Letters Patent for said inventions, without charge to Assignee, its successors, legal representatives and assigns, whenever counsel of Assignee, or counsel of its successors, legal representatives and assigns, shall advise: that any proceeding in connection with said inventions, or said Patent application for Letters Patent, or any proceeding in connection with any Letters Patent or applications for Letters Patent for said inventions in any country, including but not limited to interference proceedings, is lawful and desirable; or, that any division, continuation or continuation-in-part of any application for Letters Patent, or any reissue, reexamination or extension of any Letters Patent, to be obtained thereon, is lawful and desirable.

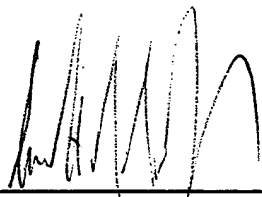
**AND** Assignors hereby request the Commissioner of Patent and Trademarks to issue said Letters Patent of the United States to Assignee, as Assignee of said inventions and the Letters Patent to be issued thereon, for the sole use and benefit of Assignee, its successors, legal representatives and assigns.

**AND** Assignors hereby grant the following individuals the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document:

**COVINGTON & BURLING**

**All practitioners at Customer Number 26853**

**AND Assignors acknowledge an obligation of assignment of this invention to  
Assignee at the time the invention was made.**

  
\_\_\_\_\_  
Carlton J. Sparrell

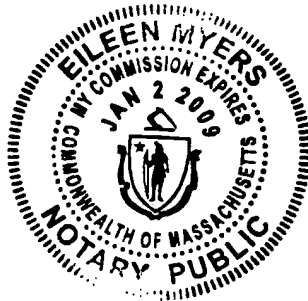
State of Massachusetts )  
 ) ss.  
County of Middlesex )

On September 24, 2004, before me, Eileen Myers, personally  
appeared Carlton J. Sparrell ☐ personally known to me ☒ MA Driver's License  
to me on the basis of satisfactory evidence, to be the person(s) whose name(s) is/are  
subscribed to the within instrument and acknowledged to me that he/she/they executed the  
same in his/her/their authorized capacity(ies), and that by his/her/their signature on the  
instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the  
instrument.

WITNESS my hand and official seal.

Eileen Myers  
\_\_\_\_\_  
Notary Public

1 - 2 - 2009  
\_\_\_\_\_  
Expiration Date





*Peter A. Kaczowka*  
Peter A. Kaczowka

State of Massachusetts )  
County of Middlesex ) ss.  
)

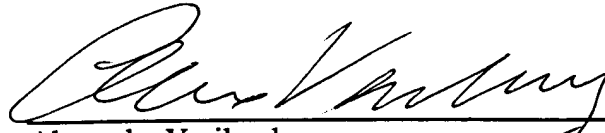
On September 24, 2004, before me, Eileen Myers, personally  
appeared Peter A. Kaczowka ☐ personally known to me ☒ MA Driver's License  
to me on the basis of satisfactory evidence, to be the person(s) whose name(s) is/are  
subscribed to the within instrument and acknowledged to me that he/she/they executed the  
same in his/her/their authorized capacity(ies), and that by his/her/their signature on the  
instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the  
instrument.

WITNESS my hand and official seal.

*Eileen Myers*  
Notary Public

1-2-2009  
Expiration Date



  
Alexander Vasilevsky

State of Massachusetts )  
County of Middlesex ) ss.  
)

On September 22, 2004 before me, Diane Dion, personally  
appeared Alexander Vasilevsky ☒ personally known to me ☐ proved  
to me on the basis of satisfactory evidence, to be the person(s) whose name(s) is/are  
subscribed to the within instrument and acknowledged to me that he/she/they executed the  
same in his/her/their authorized capacity(ies), and that by his/her/their signature on the  
instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the  
instrument.

WITNESS my hand and official seal.

Diane P. Dion  
Notary Public

Nov. 5, 2004  
Expiration Date